

RECEIVED

AUG 09 2002

TECH CENTER 1600/2900

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number.

COPY OF PAPERS  
ORIGINALLY FILED

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 110.00)

## Complete if Known

Application Number	09/731,872
Filing Date	December 7, 2000
First Named Inventor	Dumas Milne Edwards, et al.
Examiner Name	Bradley Sisson
Group Art Unit	1645
Attorney Docket No.	78.US3.REG

METHOD OF PAYMENT						FEE CALCULATION (continued)																																																																																																																																																																																																																							
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>50-1181</b></p> <p>Deposit Account Name <b>Genset Corp.</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>						<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td><td>Extension for reply within first month</td><td>110.00</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>840</td><td></td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td><td>SUBTOTAL (3) (\$ 110.00)</td></tr> </tbody> </table>						Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65		Surcharge - late filing fee or oath		127	50	227	25		Surcharge - late provisional filing fee or cover sheet		139	130	139	130		Non-English specification		147	2,520	147	2,520		For filing a request for ex parte reexamination		112	920*	112	920*		Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action		115	110	215	55		Extension for reply within first month	110.00	116	400	216	200		Extension for reply within second month		117	920	217	460		Extension for reply within third month		118	1,440	218	720		Extension for reply within fourth month		128	1,960	228	980		Extension for reply within fifth month		119	320	219	160		Notice of Appeal		120	320	220	160		Filing a brief in support of an appeal		121	280	221	140		Request for oral hearing		138	1,510	138	1,510		Petition to institute a public use proceeding		140	110	240	55		Petition to revive - unavoidable		141	1,280	241	840		Petition to revive - unintentional		142	1,280	242	640		Utility issue fee (or reissue)		143	460	243	230		Design issue fee		144	620	244	310		Plant issue fee		122	130	122	130		Petitions to the Commissioner		123	50	123	50		Processing fee under 37 CFR 1.17(q)		126	180	126	180		Submission of Information Disclosure Stmt		581	40	581	40		Recording each patent assignment per property (times number of properties)		146	740	246	370		Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370		For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370		Request for Continued Examination (RCE)		169	900	169	900		Request for expedited examination of a design application		Other fee (specify) _____						SUBTOTAL (3) (\$ 110.00)
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																							
105	130	205	65		Surcharge - late filing fee or oath																																																																																																																																																																																																																								
127	50	227	25		Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																								
139	130	139	130		Non-English specification																																																																																																																																																																																																																								
147	2,520	147	2,520		For filing a request for ex parte reexamination																																																																																																																																																																																																																								
112	920*	112	920*		Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																								
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action																																																																																																																																																																																																																								
115	110	215	55		Extension for reply within first month	110.00																																																																																																																																																																																																																							
116	400	216	200		Extension for reply within second month																																																																																																																																																																																																																								
117	920	217	460		Extension for reply within third month																																																																																																																																																																																																																								
118	1,440	218	720		Extension for reply within fourth month																																																																																																																																																																																																																								
128	1,960	228	980		Extension for reply within fifth month																																																																																																																																																																																																																								
119	320	219	160		Notice of Appeal																																																																																																																																																																																																																								
120	320	220	160		Filing a brief in support of an appeal																																																																																																																																																																																																																								
121	280	221	140		Request for oral hearing																																																																																																																																																																																																																								
138	1,510	138	1,510		Petition to institute a public use proceeding																																																																																																																																																																																																																								
140	110	240	55		Petition to revive - unavoidable																																																																																																																																																																																																																								
141	1,280	241	840		Petition to revive - unintentional																																																																																																																																																																																																																								
142	1,280	242	640		Utility issue fee (or reissue)																																																																																																																																																																																																																								
143	460	243	230		Design issue fee																																																																																																																																																																																																																								
144	620	244	310		Plant issue fee																																																																																																																																																																																																																								
122	130	122	130		Petitions to the Commissioner																																																																																																																																																																																																																								
123	50	123	50		Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																								
126	180	126	180		Submission of Information Disclosure Stmt																																																																																																																																																																																																																								
581	40	581	40		Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																								
146	740	246	370		Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																								
149	740	249	370		For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																								
179	740	279	370		Request for Continued Examination (RCE)																																																																																																																																																																																																																								
169	900	169	900		Request for expedited examination of a design application																																																																																																																																																																																																																								
Other fee (specify) _____						SUBTOTAL (3) (\$ 110.00)																																																																																																																																																																																																																							
*or number previously paid, if greater; For Reissues, see above						*Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																							

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	John Lucas Ph.D., J.D.	Registration No. (Attorney/Agent)	43,373	Telephone
Signature		Date	858/597-2610 22Jul02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS  
ORIGINALLY FILED

16K5  
\$

Please type a plus sign (+) inside this box → +

PTO/SB/21 (06-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/731,872
Filing Date	December 7, 2000
First Named Inventor	Dumas Milne Edwards, et al.
Group Art Unit	1645
Examiner Name	Bradley L. Sisson
Total Number of Pages in This Submission	78.US3.REG
Attorney Docket Number	TECH CENTER 1600/2900

RECEIVED

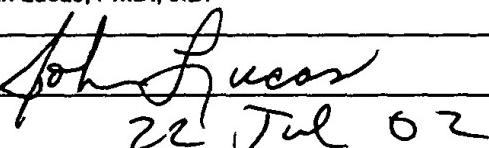
AUG 09 2002

TECH CENTER 1600/2900

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal/Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 1.73(b); Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Remarks	<p style="writing-mode: vertical-rl; transform: rotate(180deg);">TECHNOLOGY CENTER 1600</p>
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<p style="writing-mode: vertical-rl; transform: rotate(180deg);">AUG 09 2002</p>

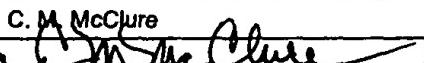
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John Lucas, Ph.D., J.D.	Reg. No. 43,373
Signature		
Date	22 Jul 02	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

July 23, 2002

Typed or printed name	C. M. McClure
Signature	
Date	07/23/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.